



New York City Campaign Finance Board
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By C-Access
January 30, 2015

Veronica Morales
Katz Transition 2013

[REDACTED]
[REDACTED]

Dear Ms. Morales:

Based on a review of documents submitted and information disclosed to the New York City Campaign Finance Board (the “Board” or “CFB”) by the 2013 Transition and Inauguration Entity of Melinda Katz (the “TIE”), the CFB has determined that the TIE demonstrated substantial compliance with the Campaign Finance Act and Board Rules.

No further reporting is required by the TIE. However, the CFB notes that the TIE has a reported balance of \$1,234.02 in anticipation of certain future expenditures of an indeterminate amount. TIEs are required to return any remaining funds to their contributors or the New York City Election Campaign Finance Fund after paying all liabilities. A TIE may not retain a surplus. *See* New York City Administrative Code § 3-801(6); Board Rule 11-04(f).

This concludes our review of the TIE and a response to this letter is not required. Please ensure that the TIE closes its bank account. Note that the TIE is required to maintain its records for six years from the date of its registration.

Thank you for your cooperation throughout this process.

Sincerely,

[REDACTED]

Francisco A. Pardo
Analyst

Signature on Original